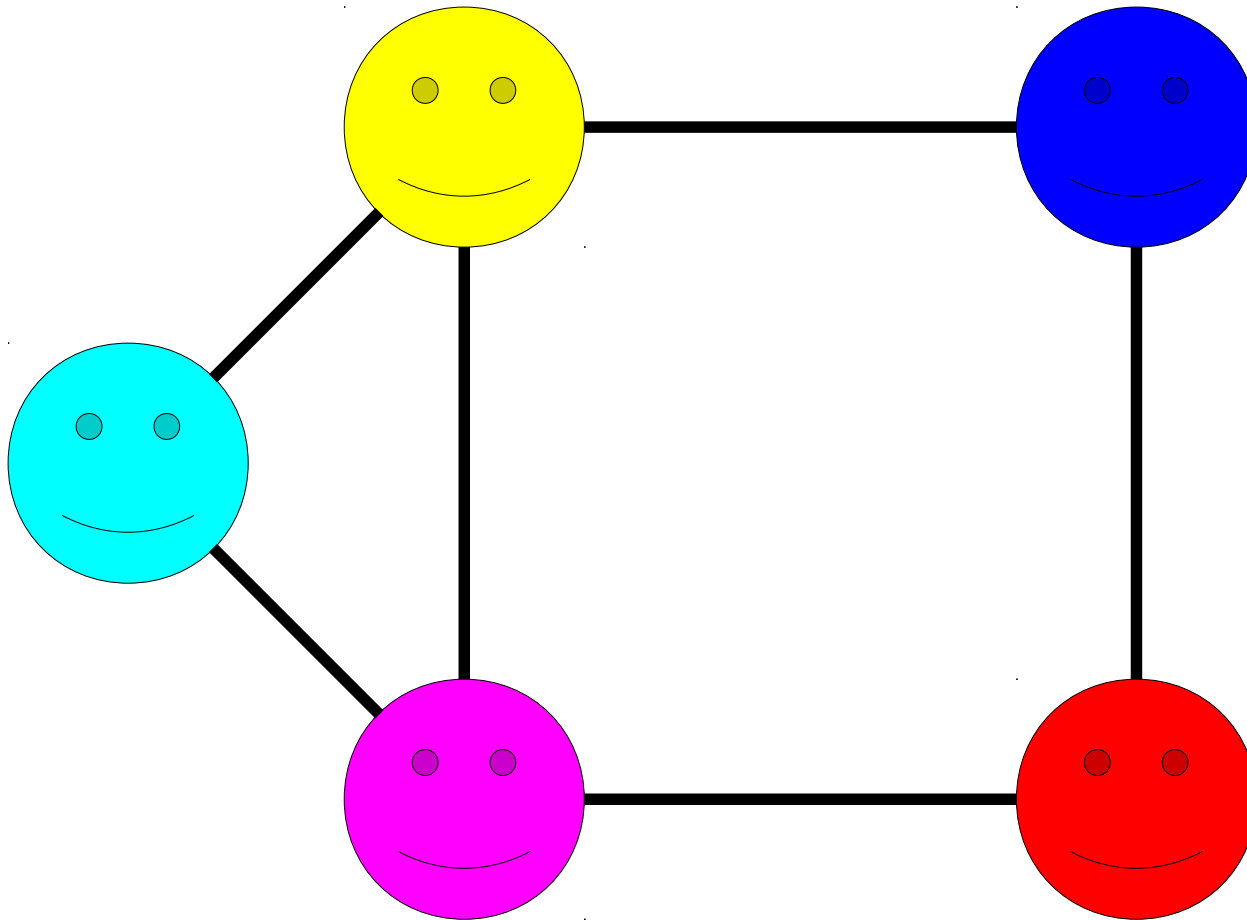


# Graph Theory

## Part Two

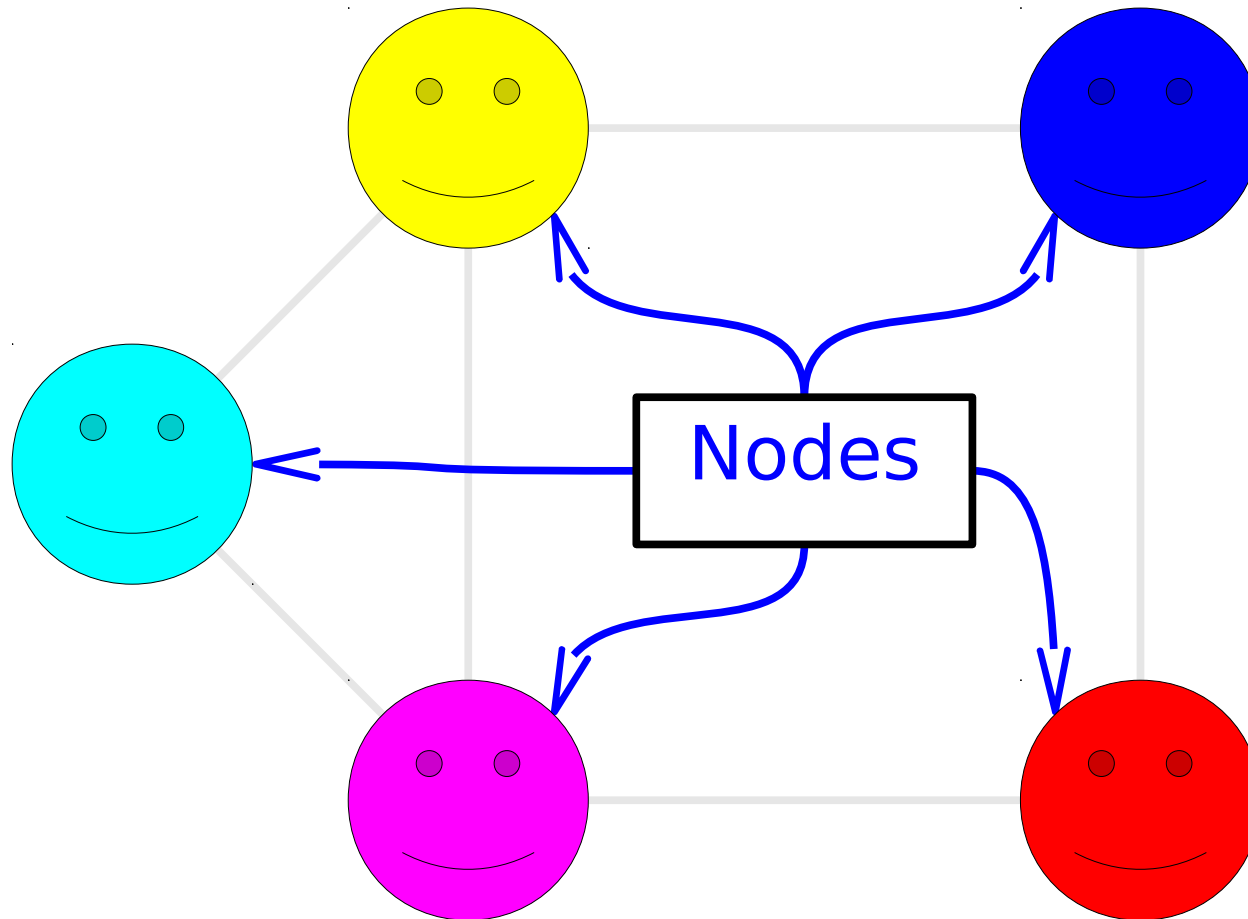
Recap from Last Time

A **graph** is a mathematical structure for representing relationships.



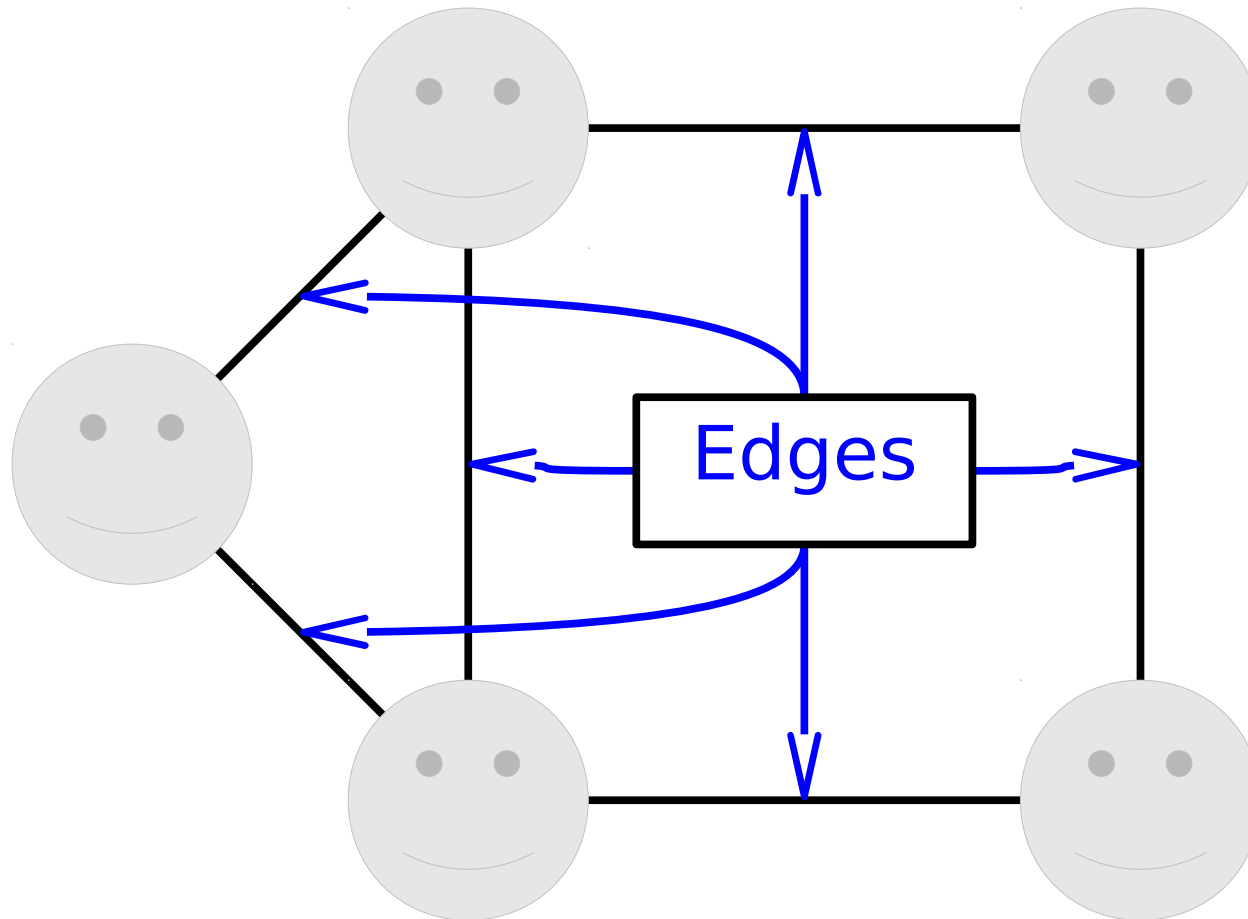
A graph consists of a set of **nodes** (or **vertices**) connected by **edges** (or **arcs**)

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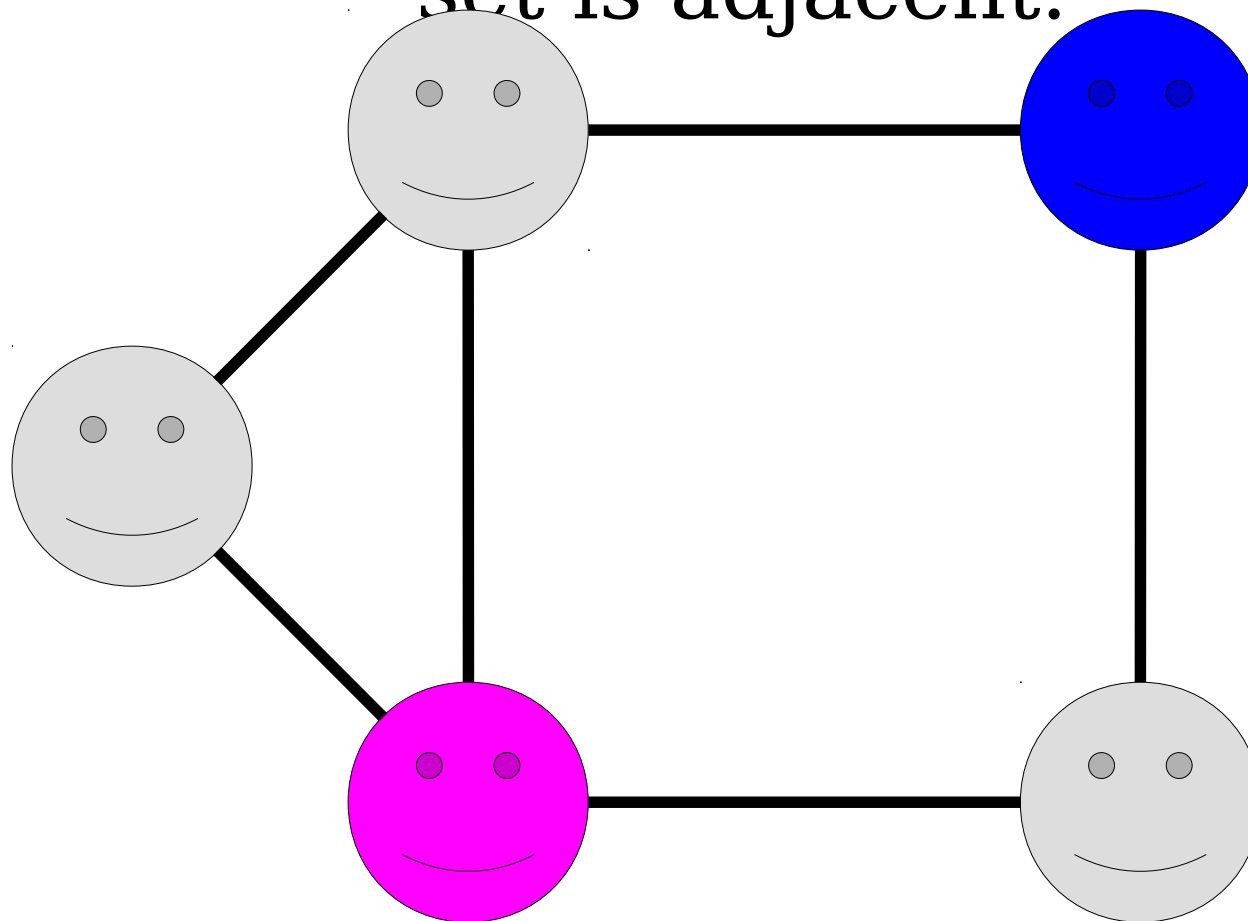
A graph consists of a set of **nodes** (or **vertices**) connected by **edges** (or **arcs**)

# Adjacency and Connectivity

- Two nodes in a graph are called ***adjacent*** if there's an edge between them.
- Two nodes in a graph are called ***connected*** if there's a path between them.
  - A path is a series of one or more nodes where consecutive nodes are adjacent.
- An entire graph is called ***connected*** if every pair of nodes in the graph is connected.

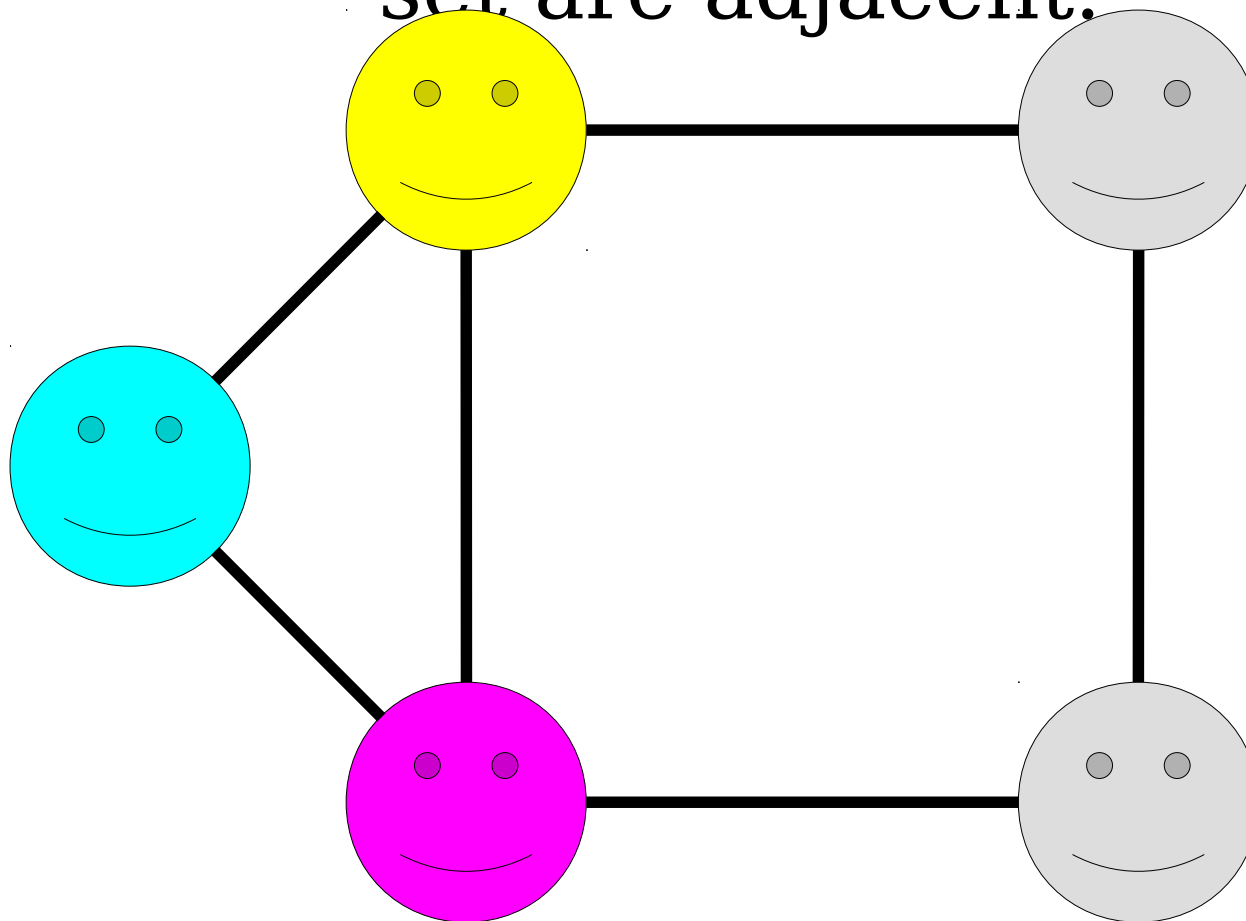
New Stuff

An ***independent set*** is a subset of nodes of a graph such that no pair of nodes in the set is adjacent.



Here is one independent set of size two.

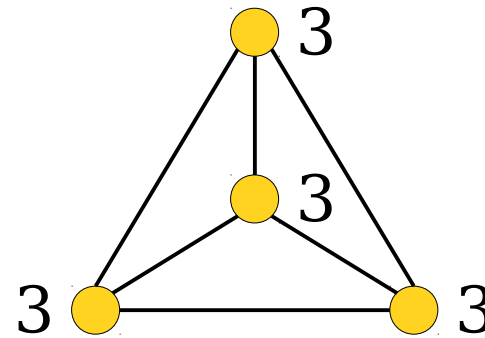
An ***k-clique*** is a subset of nodes of a graph such that all pairs of distinct nodes in the set are adjacent.



Here is a 3-clique.

# Degrees

- The ***degree*** of a node  $v$  in a graph is the number of nodes that  $v$  is adjacent to.

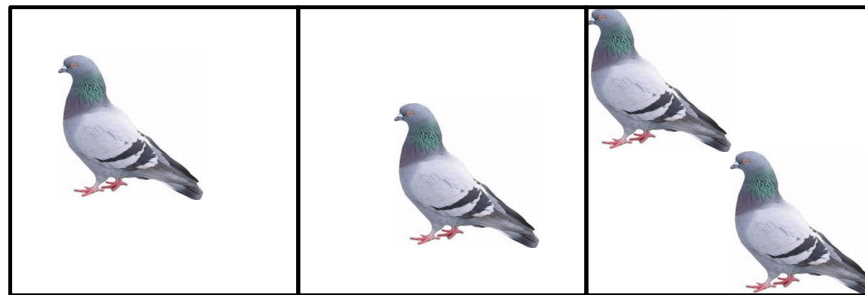


# The Pigeonhole Principle



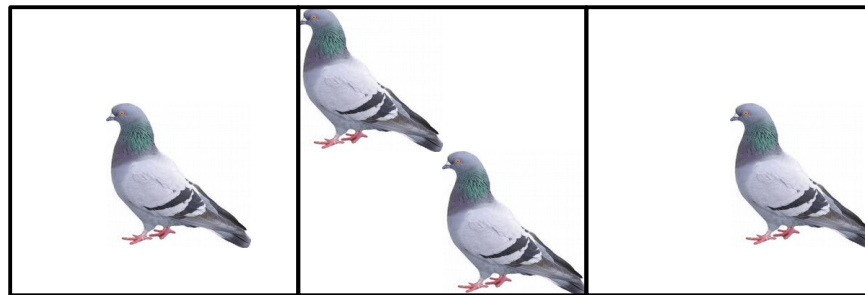
# The Pigeonhole Principle

- ***Theorem (The Pigeonhole Principle):***  
If  $m$  objects are distributed into  $n$  bins and  $m > n$ , then at least one bin will contain at least two objects.



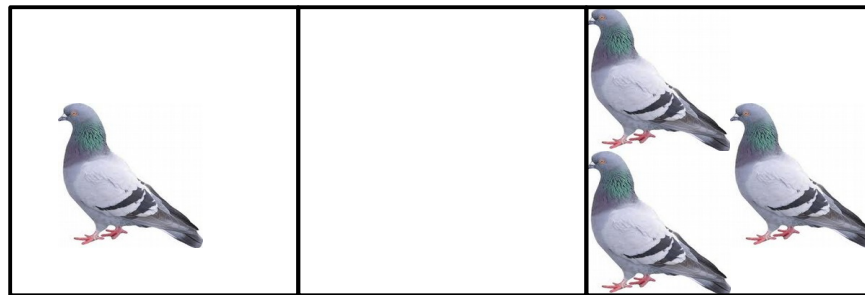
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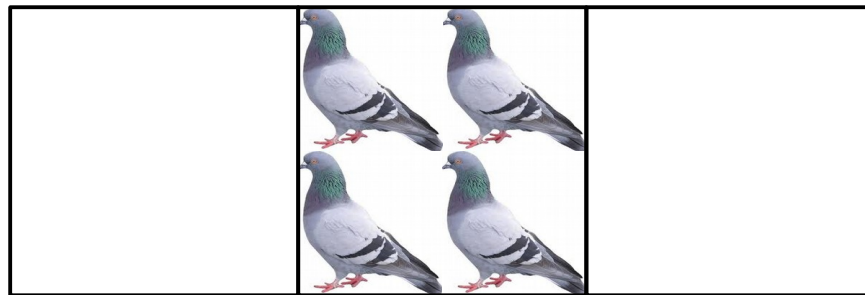
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# The Pigeonhole Principle

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# Some Simple Applications

- Any group of 367 people must have a pair of people that share a birthday.
  - 366 possible birthdays (pigeonholes)
  - 367 people (pigeons)
- Two people in San Francisco have the exact same number of hairs on their head.
  - Maximum number of hairs ever found on a human head is no greater than 500,000.
  - There are over 800,000 people in San Francisco.

***Theorem (The Pigeonhole Principle)***: If  $m$  objects are distributed into  $n$  bins and  $m > n$ , then at least one bin will contain at least two objects.

Let  $A$  and  $B$  be finite sets and assume  $|A| > |B|$ .

**How many** of the following statements **must** be true?

If  $f : A \rightarrow B$ , then  $f$  is injective.

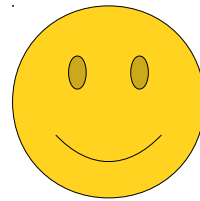
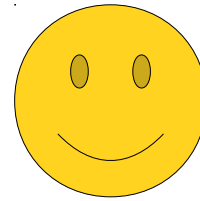
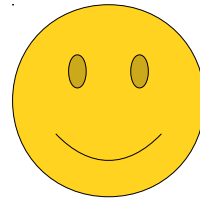
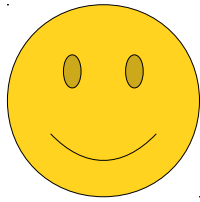
If  $f : A \rightarrow B$ , then  $f$  is not injective.

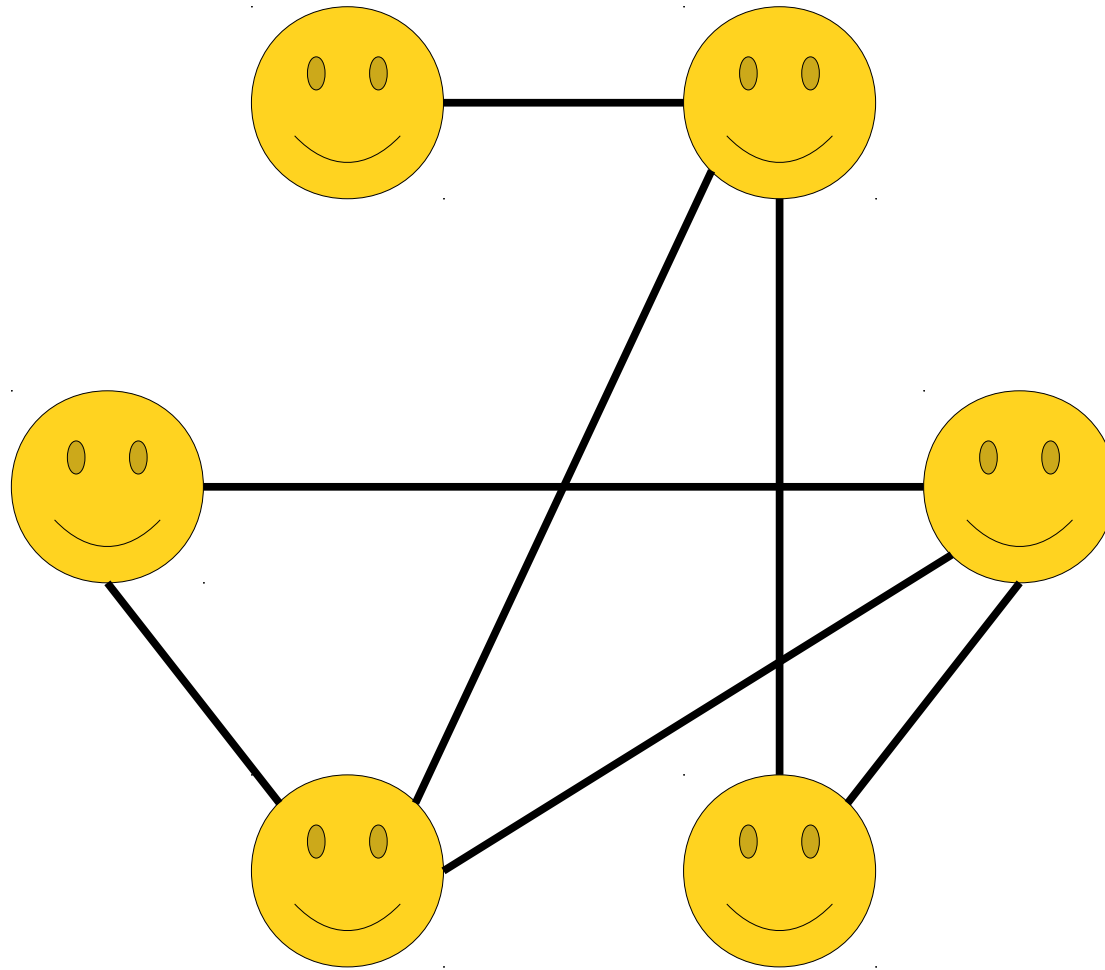
If  $f : A \rightarrow B$ , then  $f$  is surjective.

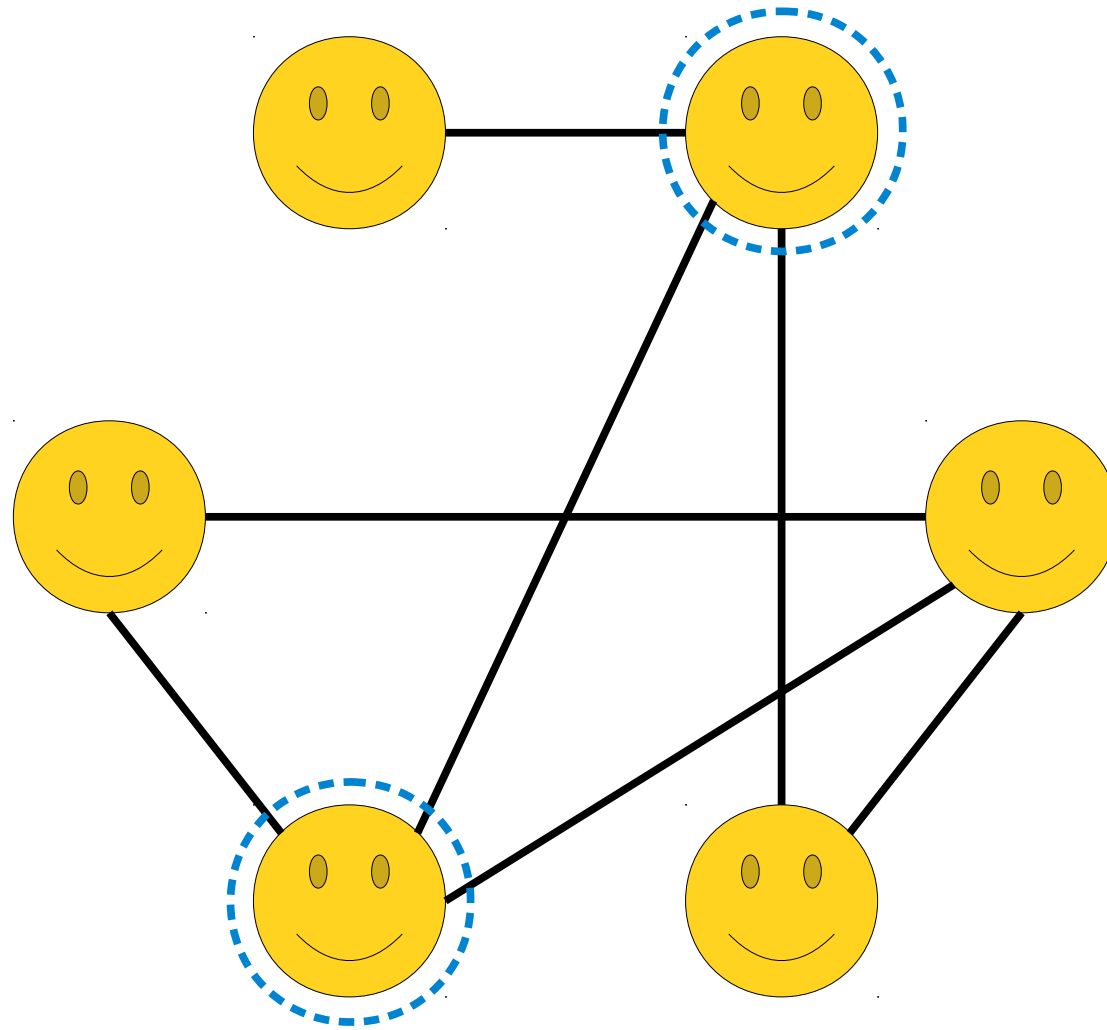
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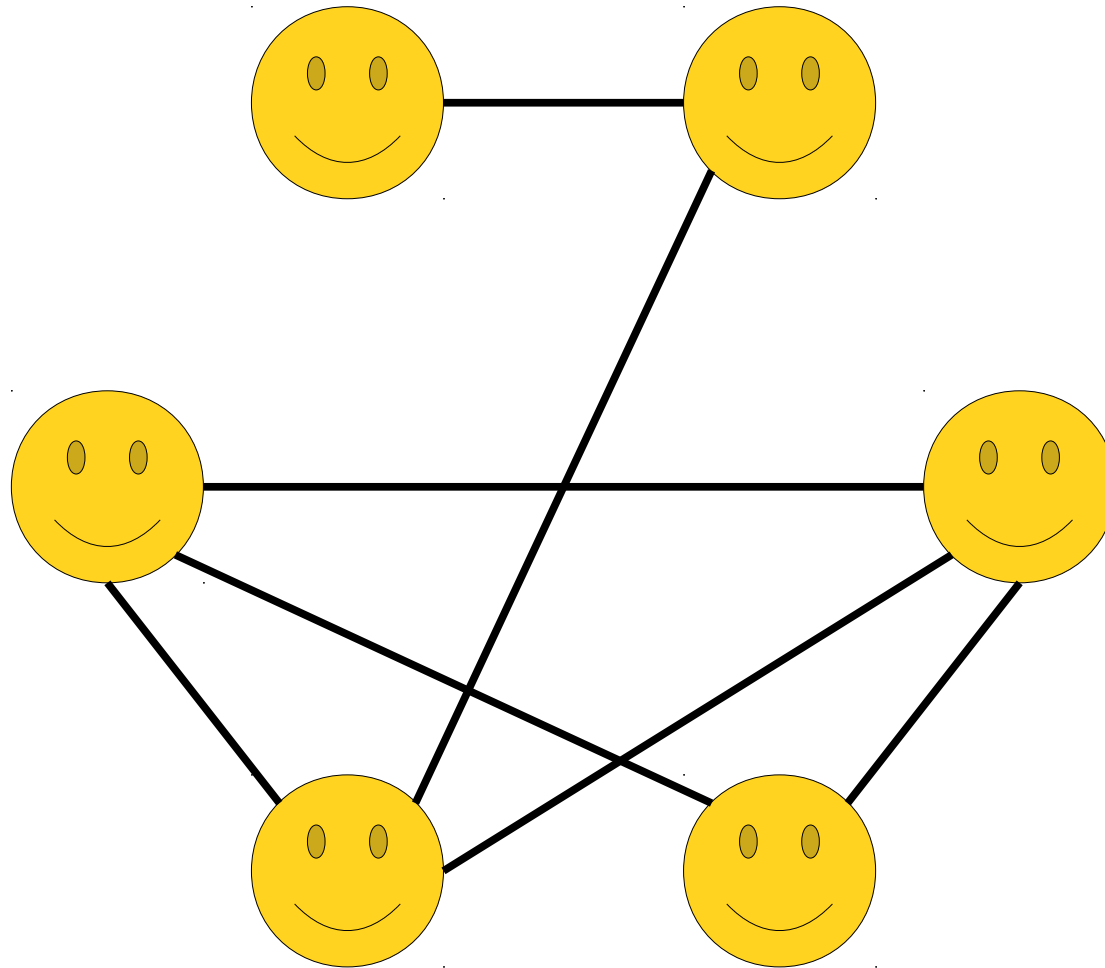
Answer at [Pollev.com/cs103](https://pollev.com/cs103) or  
text **CS103** to **22333** once to join, then a number.

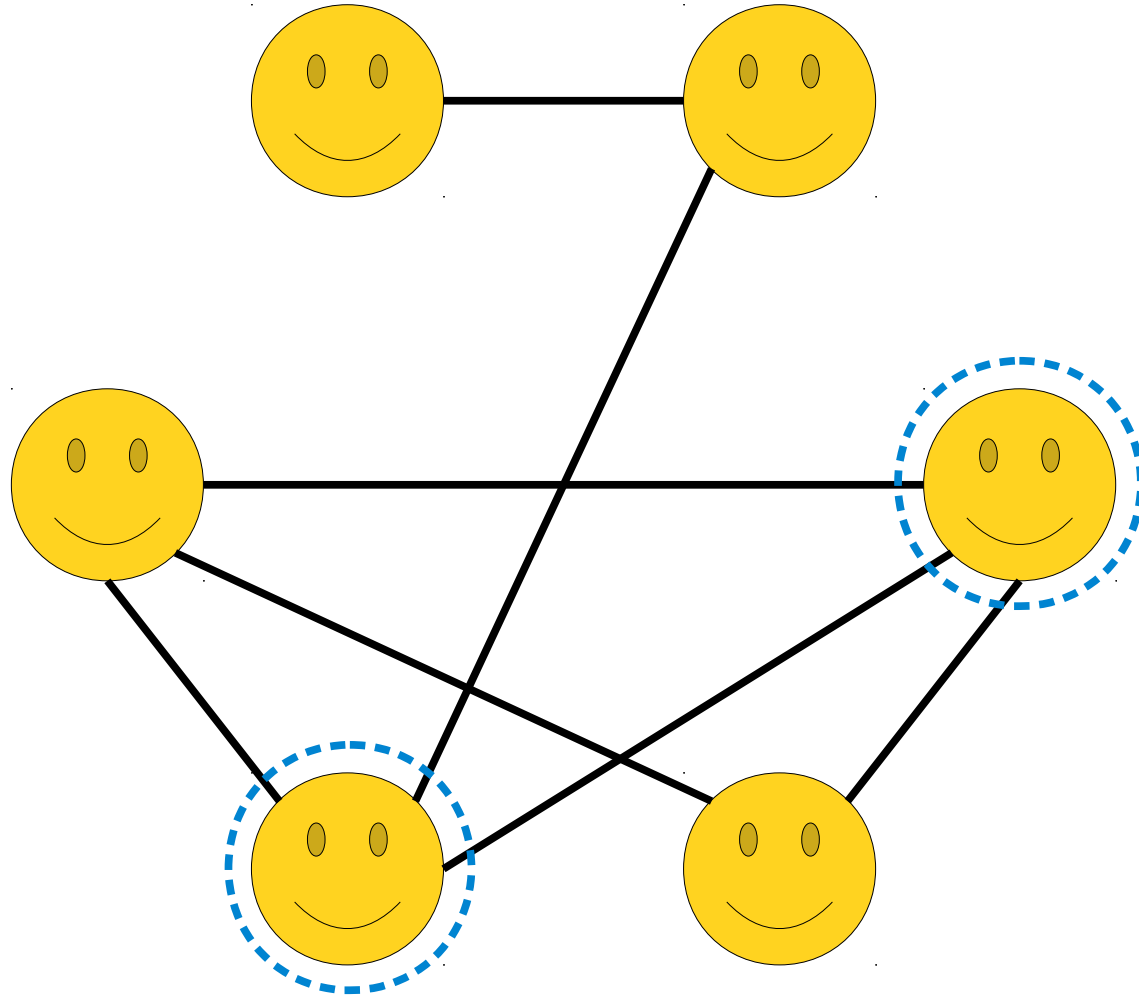
# Pigeonhole Principle Party Tricks

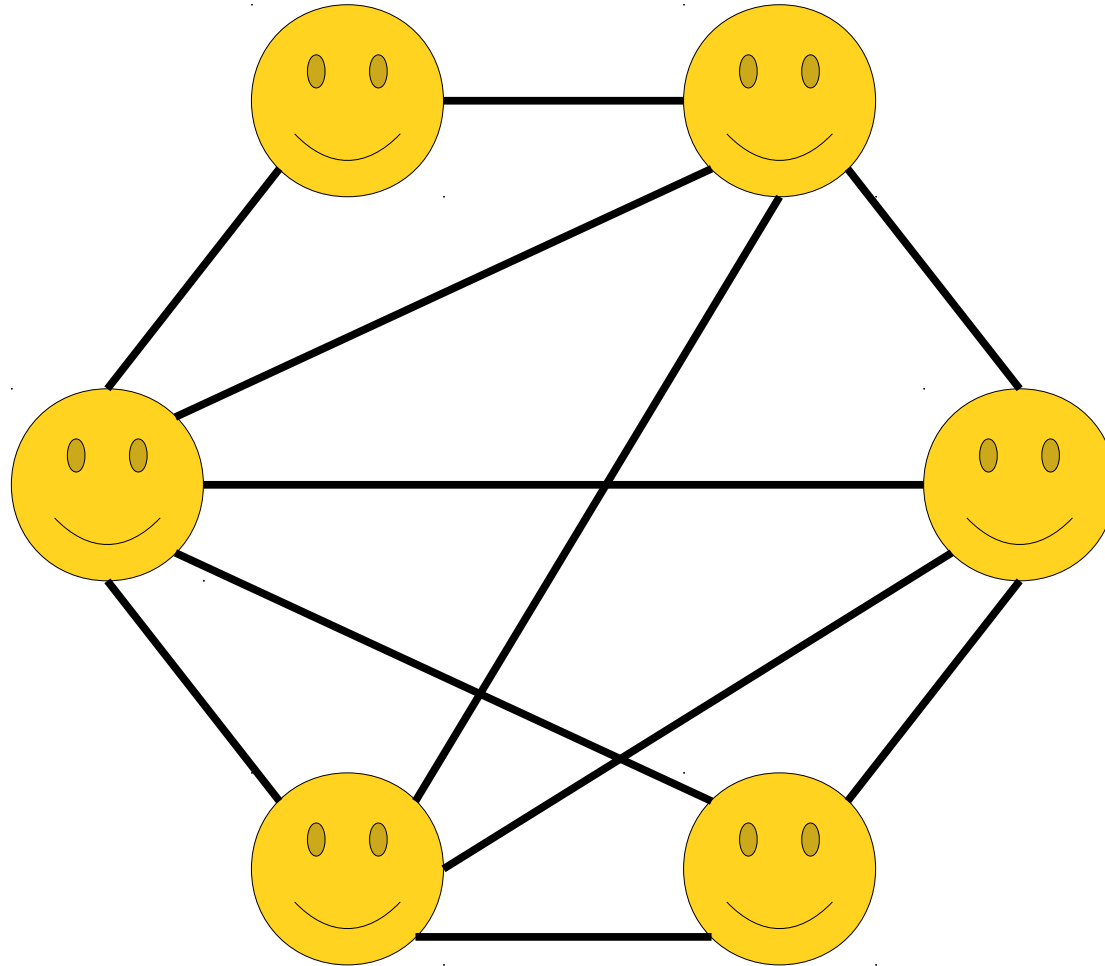


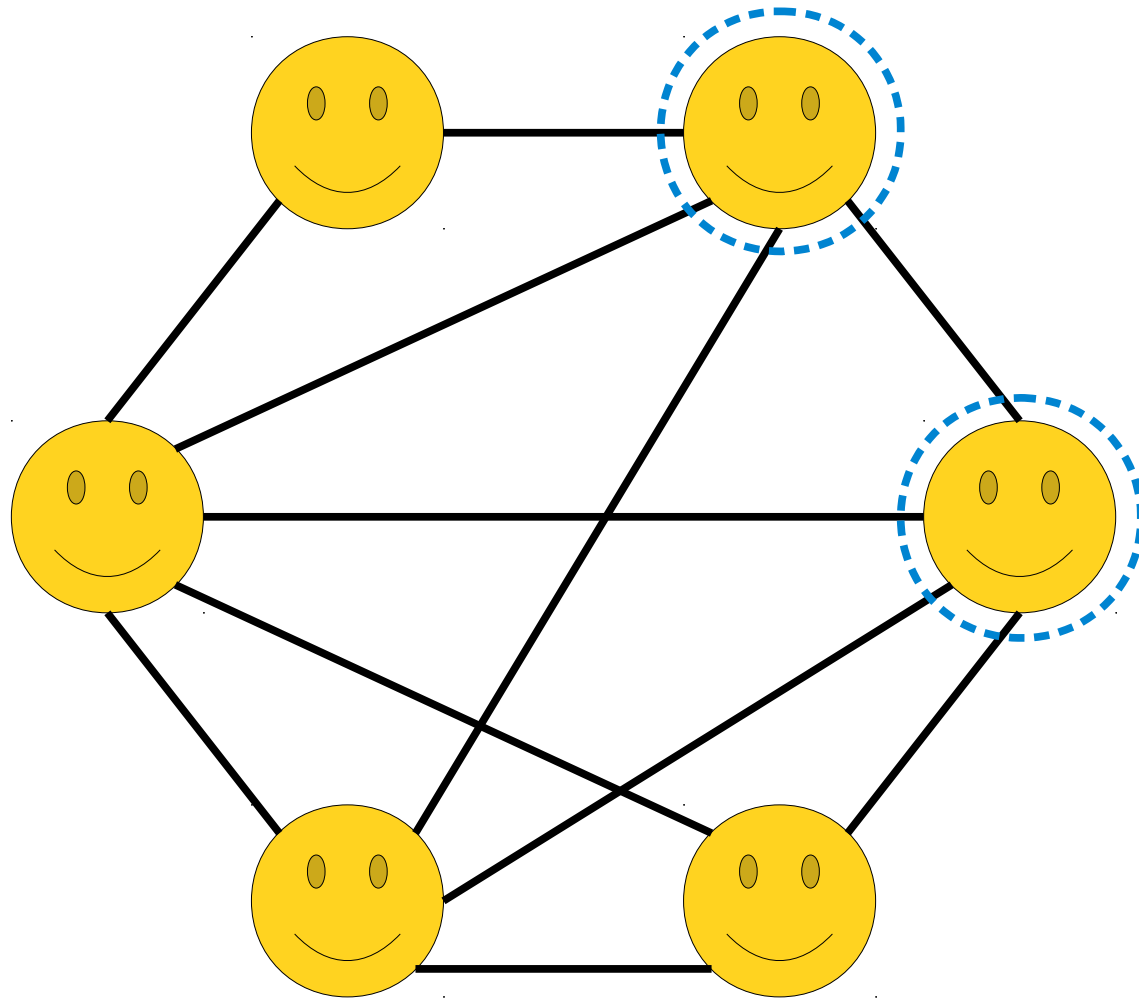






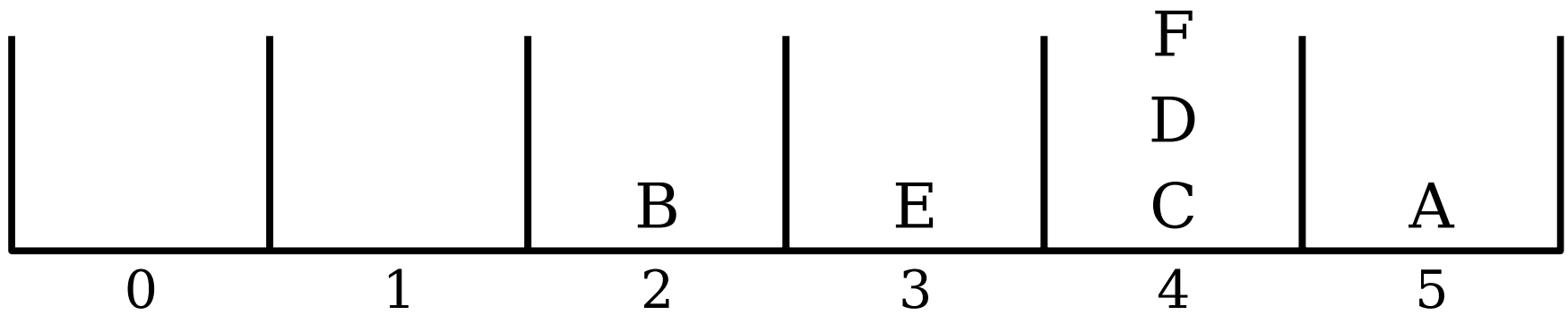
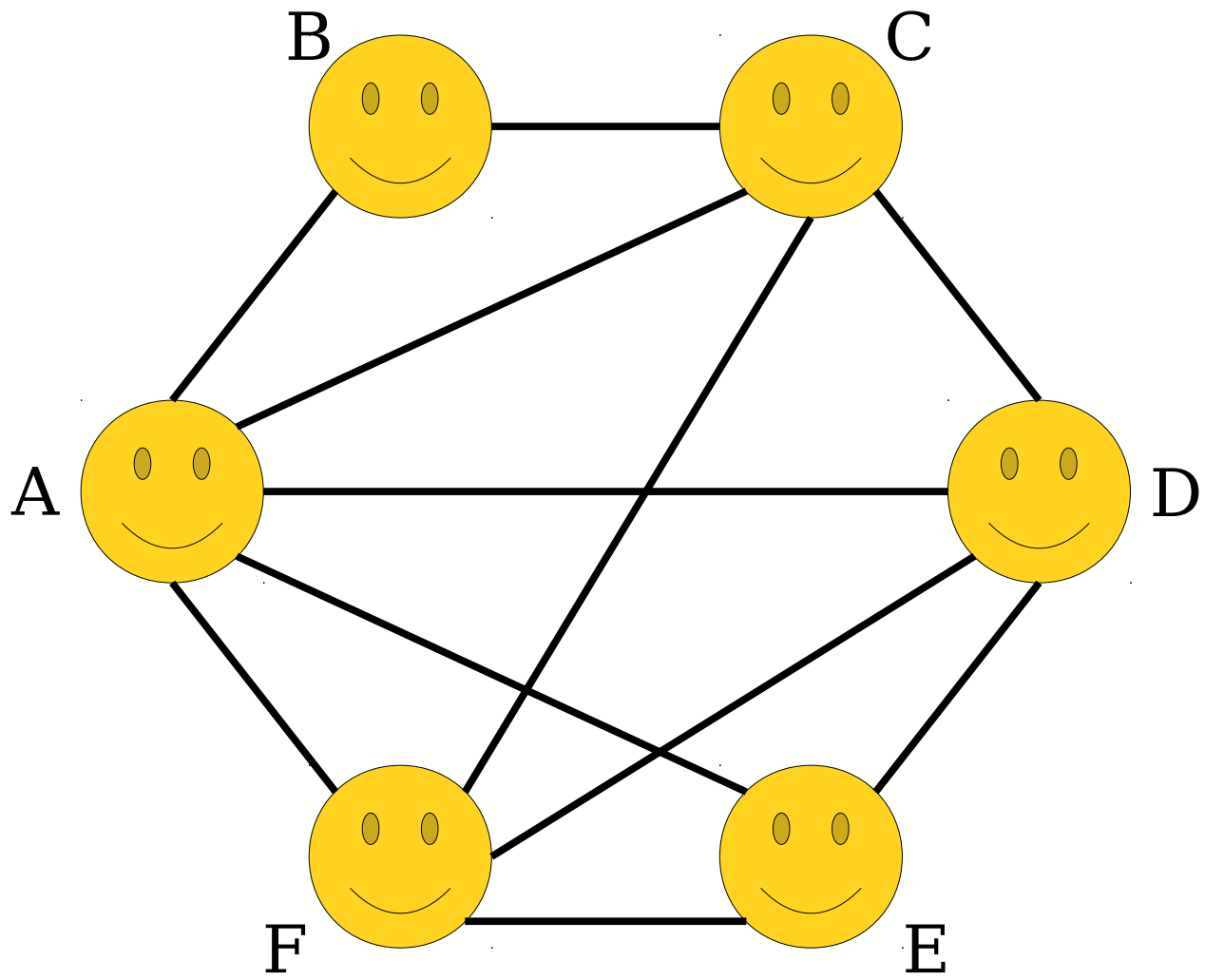


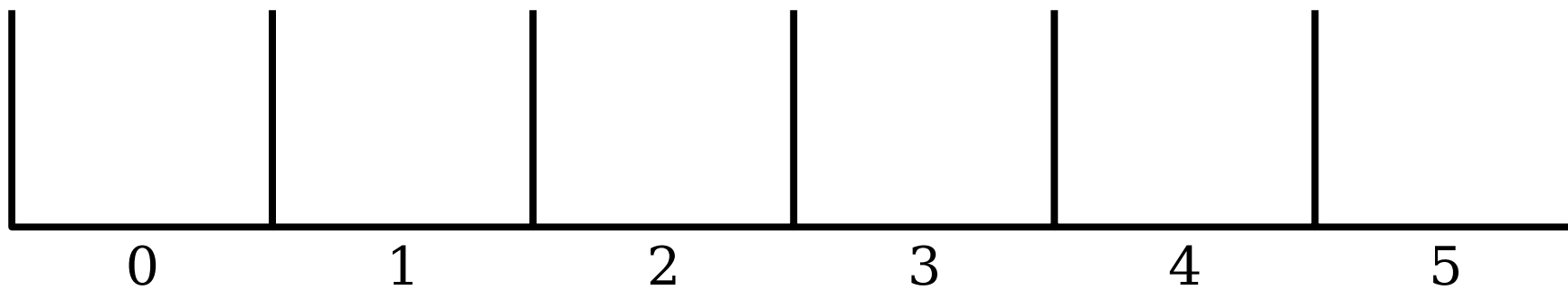
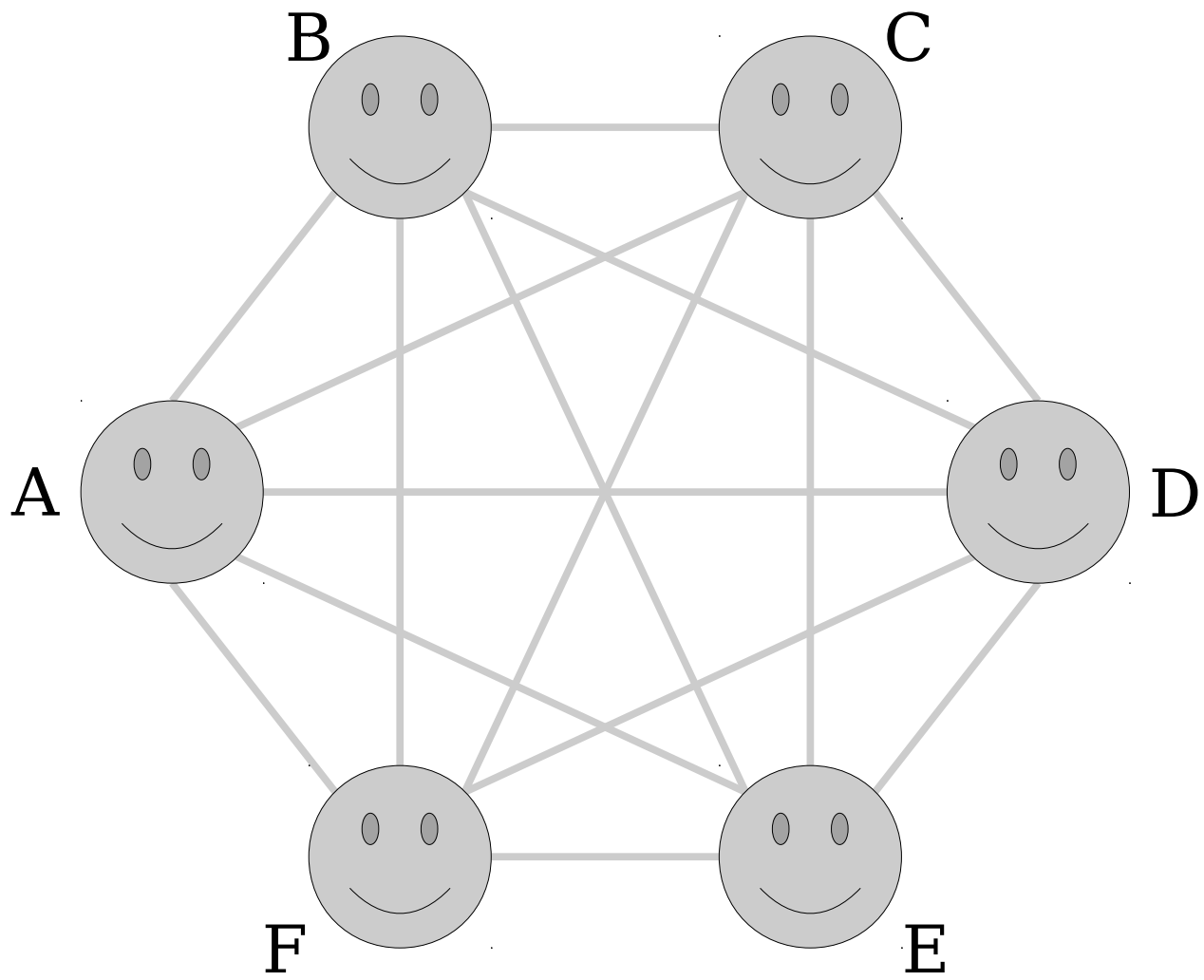


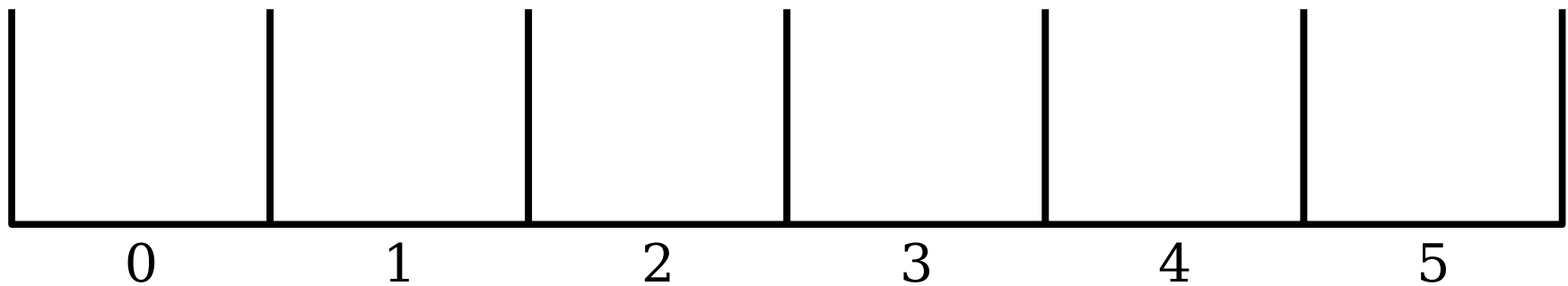
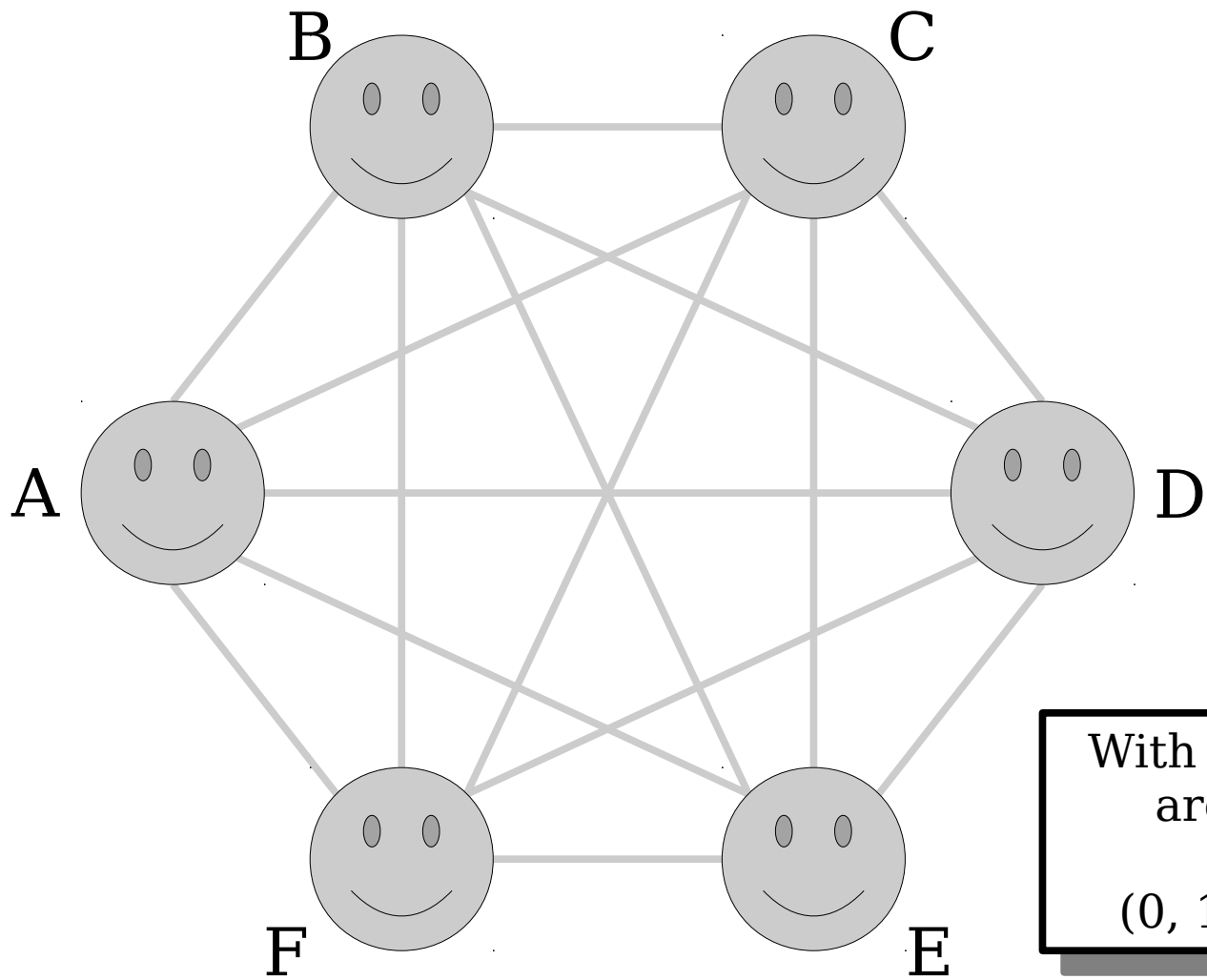


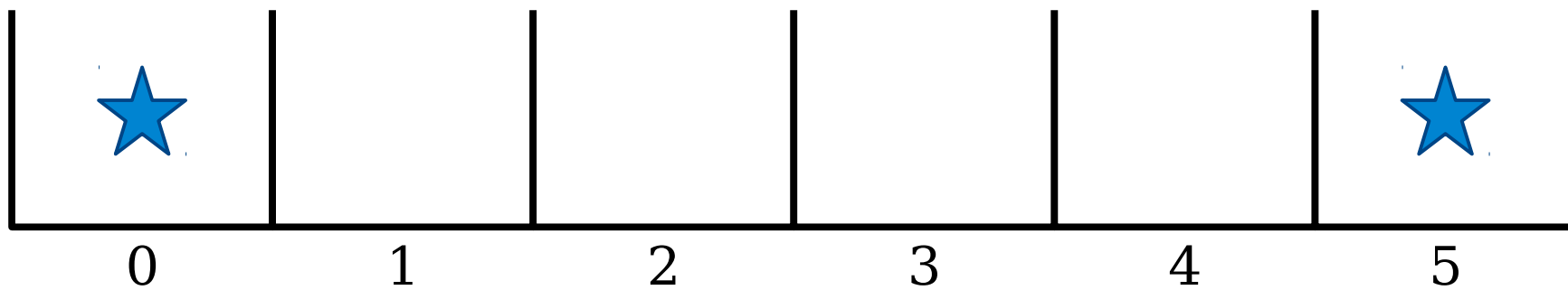
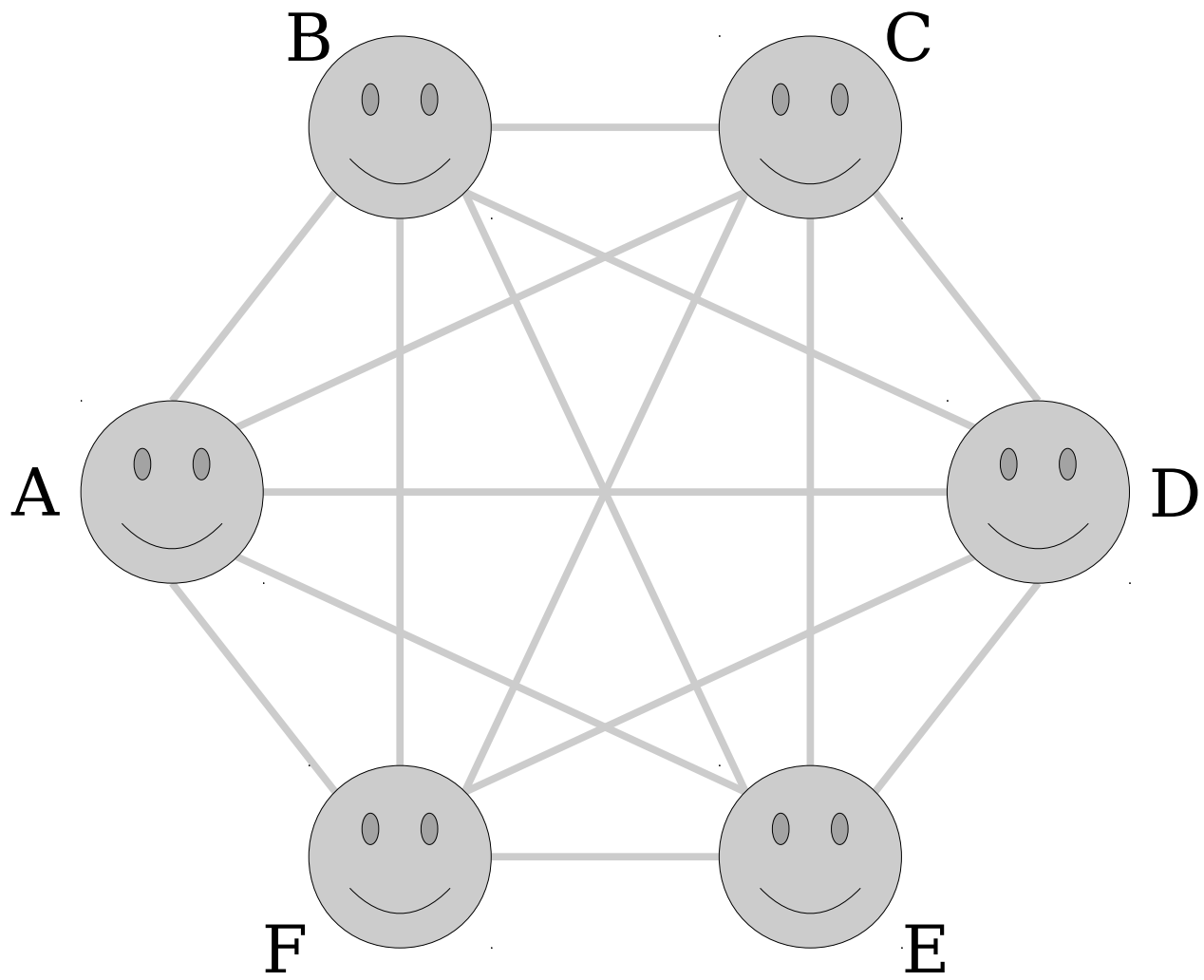
# Party Planning

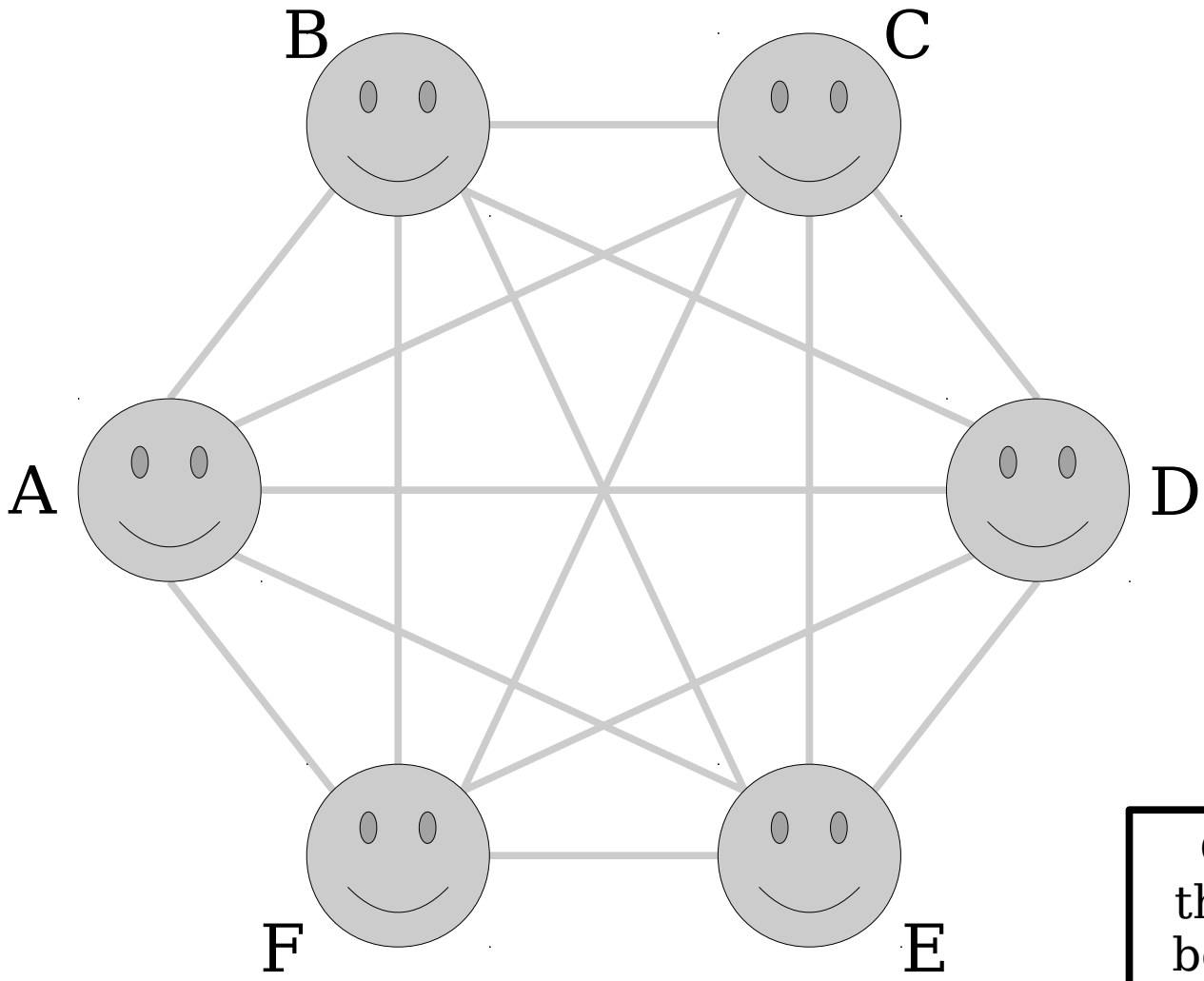
- ***Theorem:*** Every graph with at least two nodes has at least two nodes with the same degree.
  - Equivalently: at any party with at least two people, there are at least two people with the same number of friends at the party.



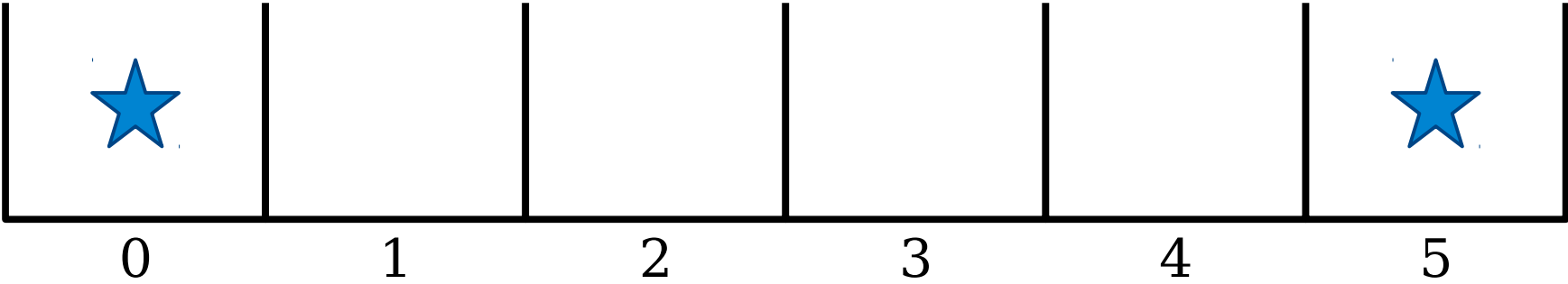


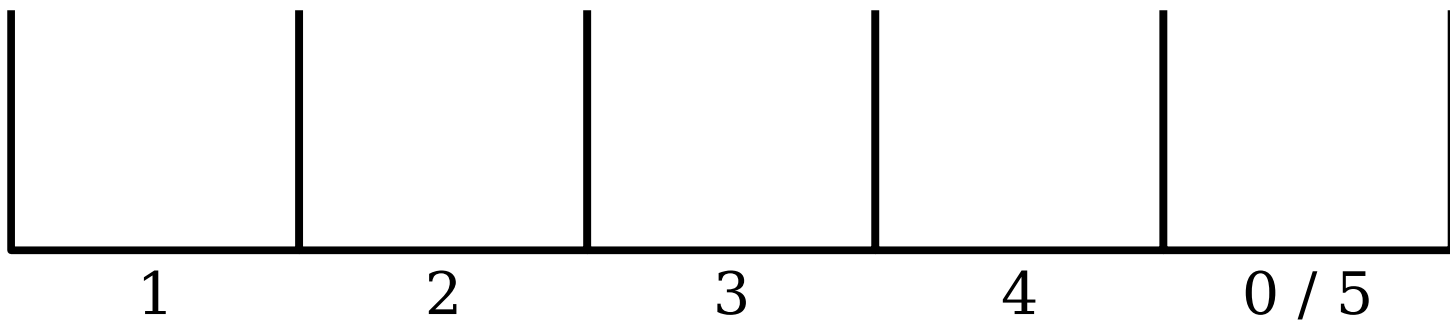
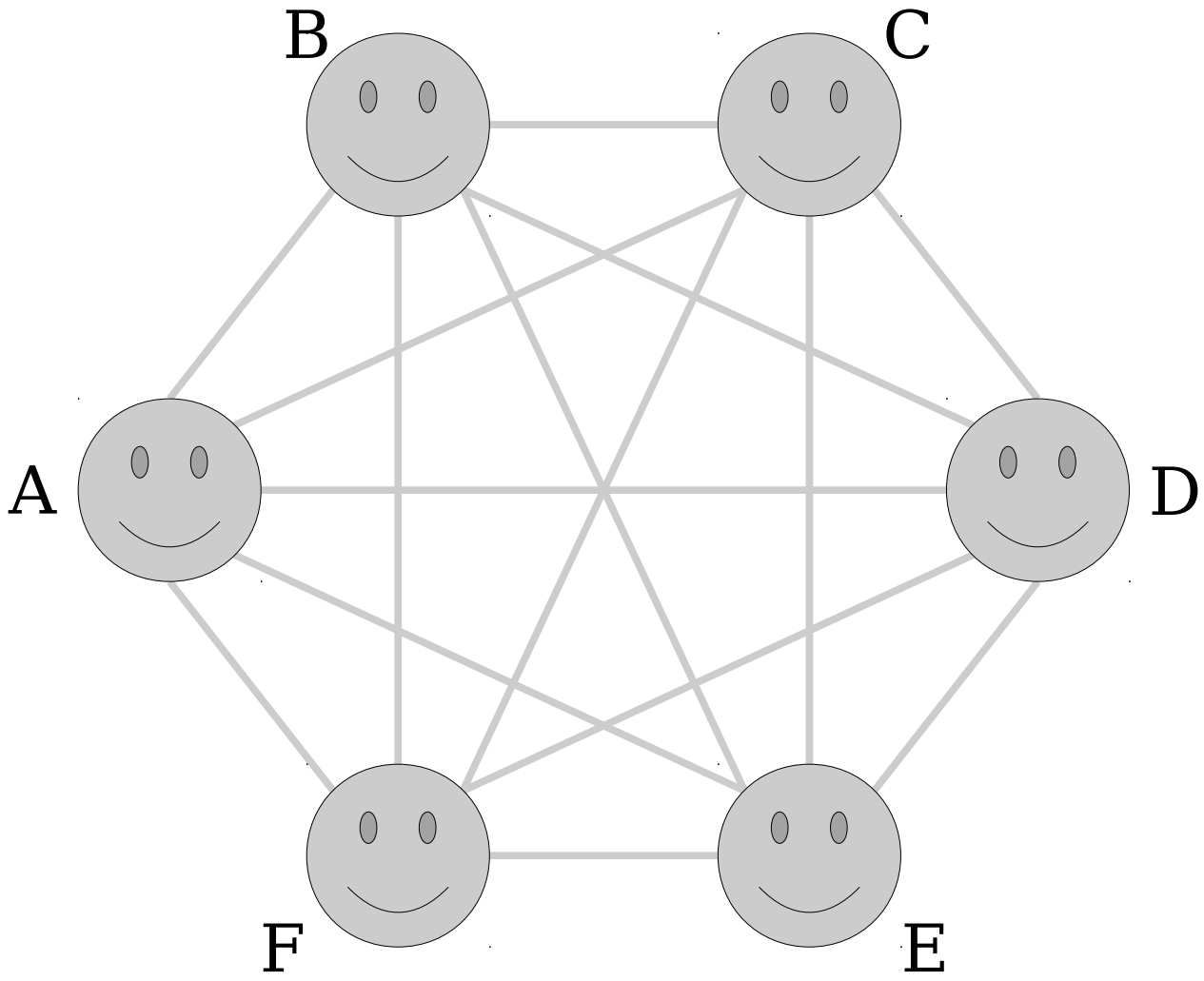






Can both of these buckets be nonempty?





***Theorem:*** In any graph with at least two nodes, there are at least two nodes of the same degree.

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We claim that  $G$  cannot simultaneously have a node  $u$  of degree  $0$  and a node  $v$  of degree  $n - 1$ :

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We therefore see that the possible options for degrees of nodes in  $G$  are either drawn from  $0, 1, \dots, n - 2$  or from  $1, 2, \dots, n - 1$ .

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We therefore see that the possible options for degrees of nodes in  $G$  are either drawn from  $0, 1, \dots, n - 2$  or from  $1, 2, \dots, n - 1$ . In either case, there are  $n$  nodes and  $n - 1$  possible degrees, so by the pigeonhole principle two nodes in  $G$  must have the same degree.

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We claim that  $G$  cannot simultaneously have a node  $u$  of degree 0 and a node  $v$  of degree  $n - 1$ : if there were such nodes, then node  $u$  would be adjacent to no other nodes and node  $v$  would be adjacent to all other nodes, including  $u$ . (Note that  $u$  and  $v$  must be different nodes, since  $v$  has degree at least 1 and  $u$  has degree 0.)

We therefore see that the possible options for degrees of nodes in  $G$  are either drawn from  $0, 1, \dots, n - 2$  or from  $1, 2, \dots, n - 1$ . In either case, there are  $n$  nodes and  $n - 1$  possible degrees, so by the pigeonhole principle two nodes in  $G$  must have the same degree. ■

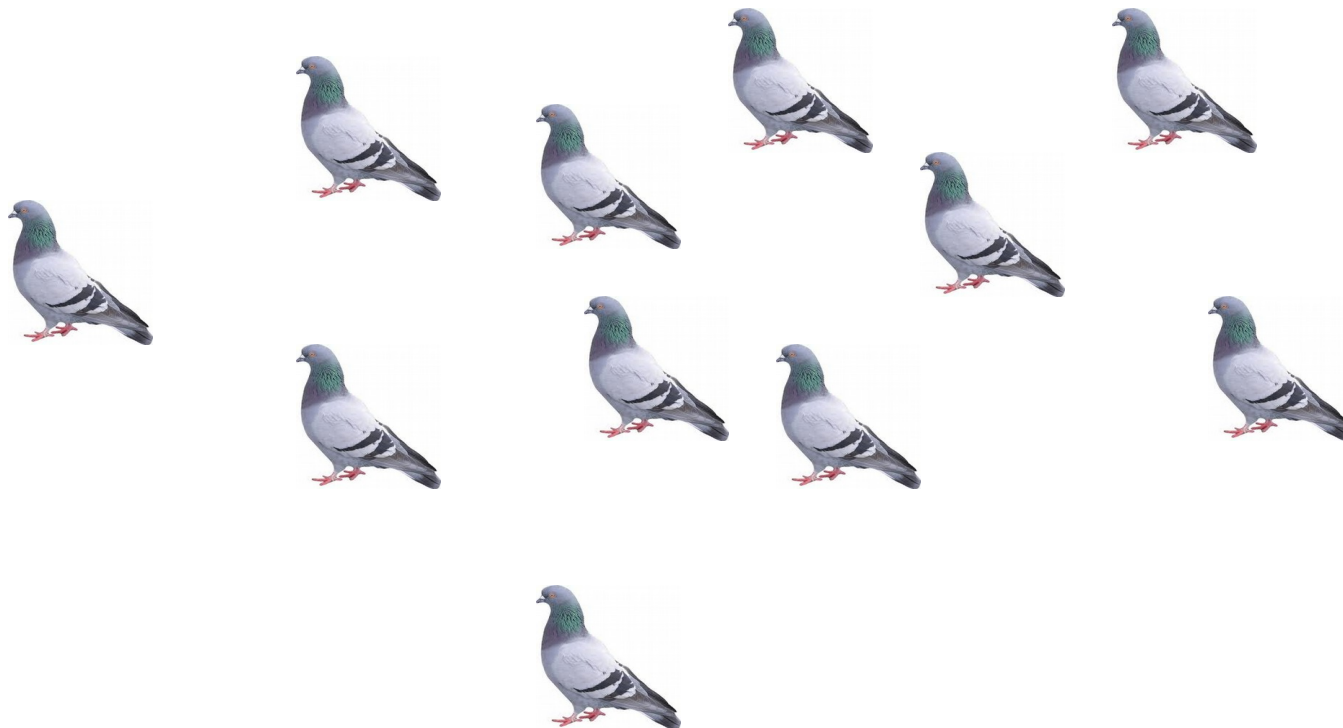
**Theorem:** In any graph with at least two nodes, there are at least two nodes of the same degree.

**Proof 2:** Assume for the sake of contradiction that there is a graph  $G$  with  $n \geq 2$  nodes where no two nodes have the same degree. There are  $n$  possible choices for the degrees of nodes in  $G$ , namely  $0, 1, 2, \dots, n - 1$ , so this means that  $G$  must have exactly one node of each degree. However, this means that  $G$  has a node of degree 0 and a node of degree  $n - 1$ . (These can't be the same node, since  $n \geq 2$ .) This first node is adjacent to no other nodes, but this second node is adjacent to every other node, which is impossible.

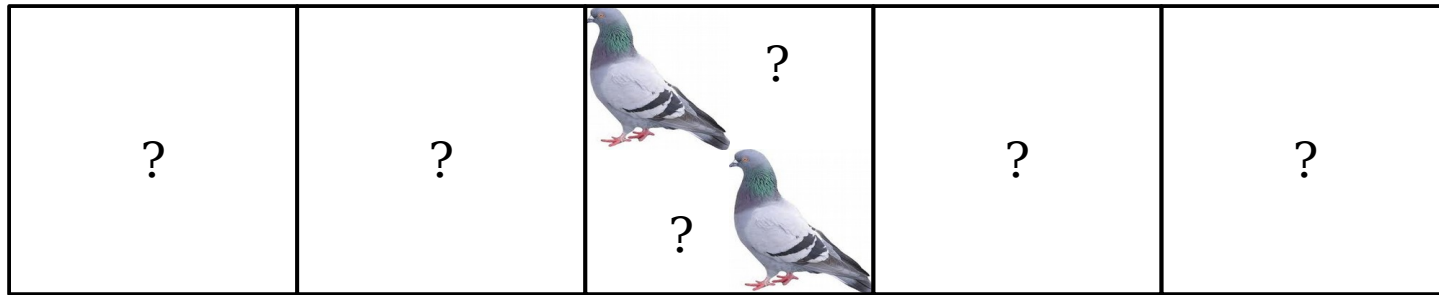
We have reached a contradiction, so our assumption must have been wrong. Thus if  $G$  is a graph with at least two nodes,  $G$  must have at least two nodes of the same degree. ■

# The Generalized Pigeonhole Principle

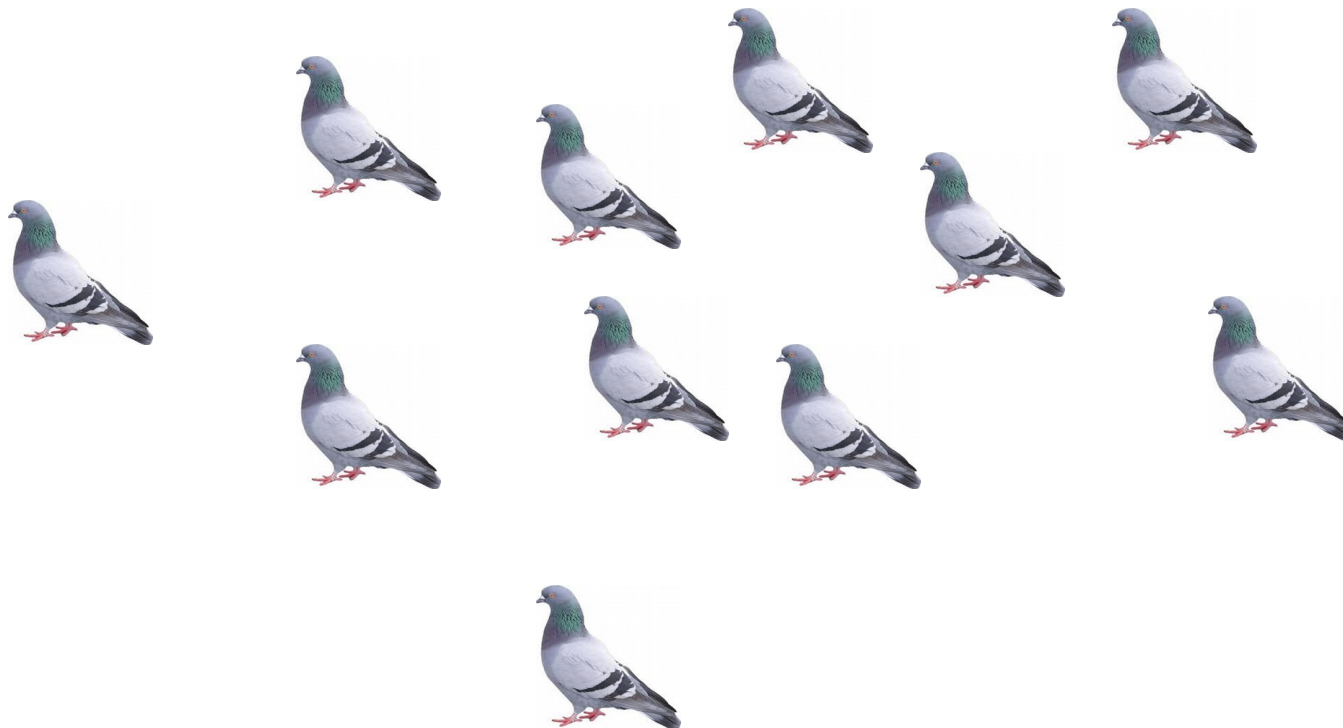
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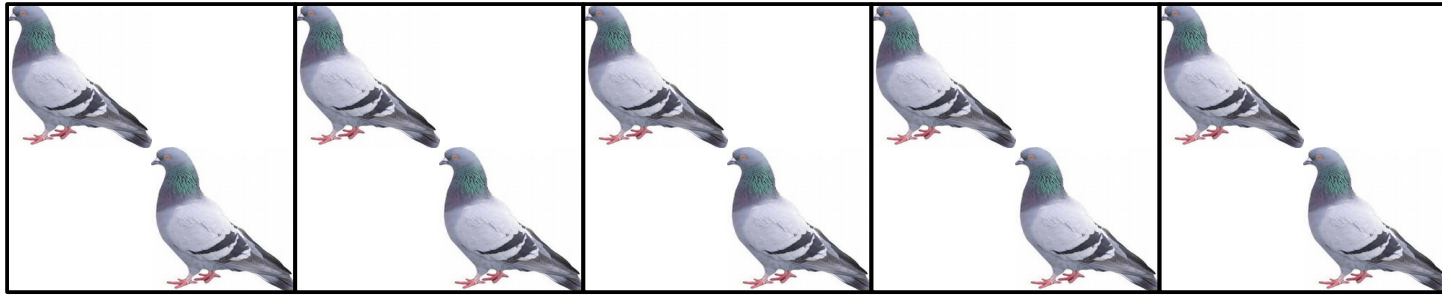
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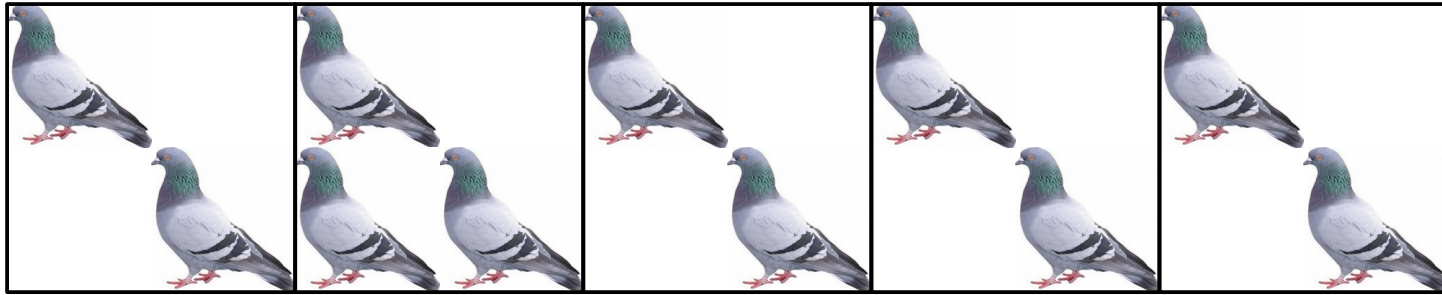
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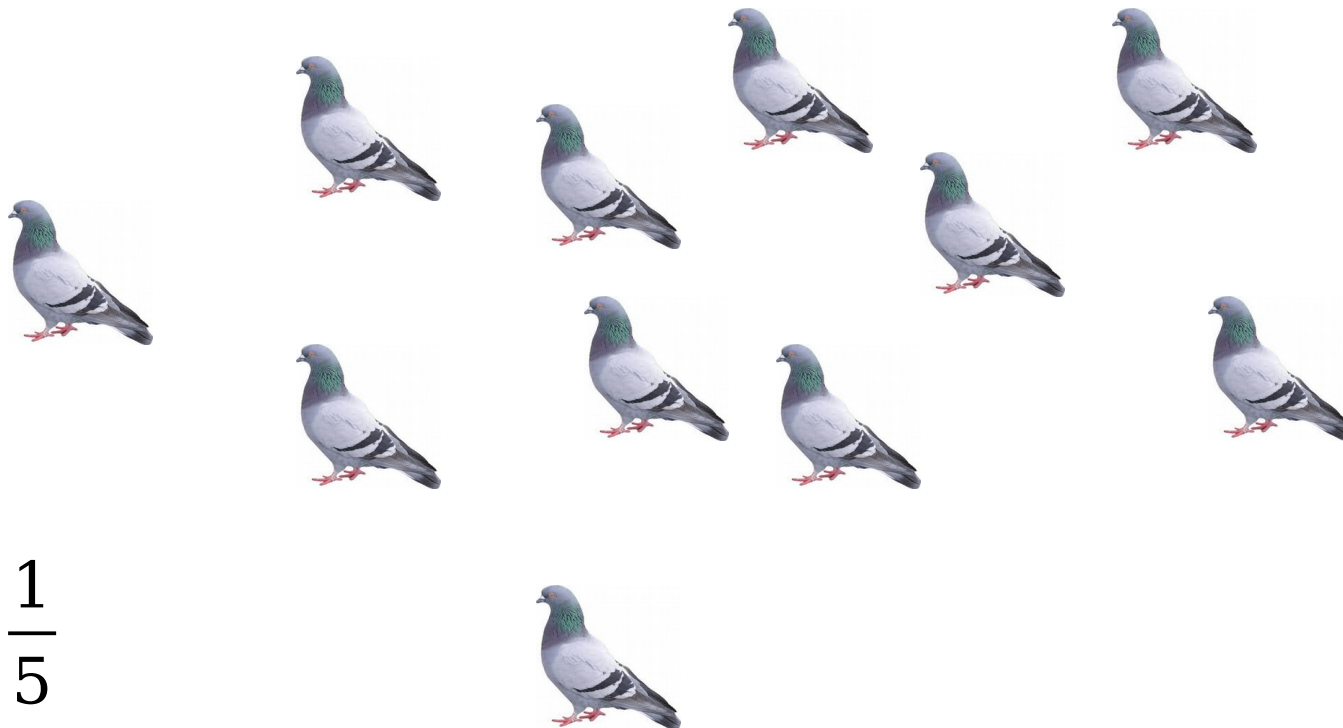
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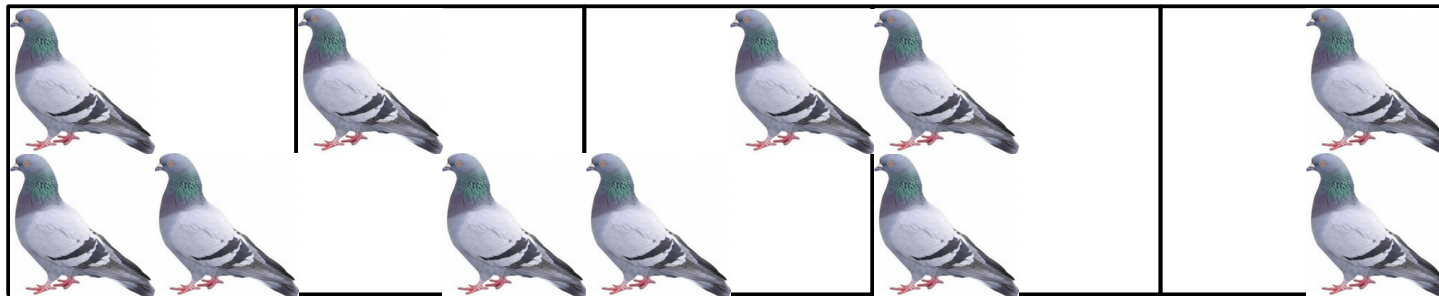


$$\frac{11}{5} = 2\frac{1}{5}$$

# A More General Version

- The **generalized pigeonhole principle** says that if you distribute  $m$  objects into  $n$  bins, then
  - some bin will have at least  $\lceil m/n \rceil$  objects in it, and
  - some bin will have at most  $\lfloor m/n \rfloor$  objects in it.

$\lceil m/n \rceil$  means “ $m/n$ , rounded up.”  
 $\lfloor m/n \rfloor$  means “ $m/n$ , rounded down.”



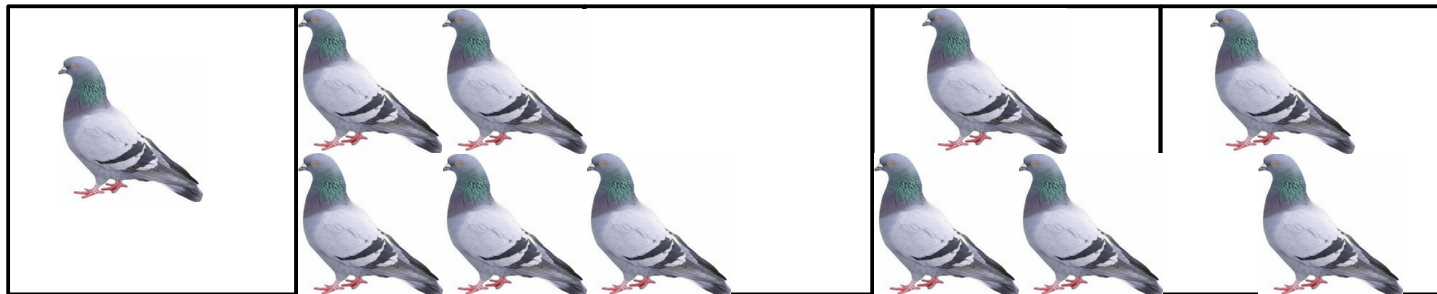
$$m = 11$$
$$n = 5$$

$$\lceil m / n \rceil = 3$$
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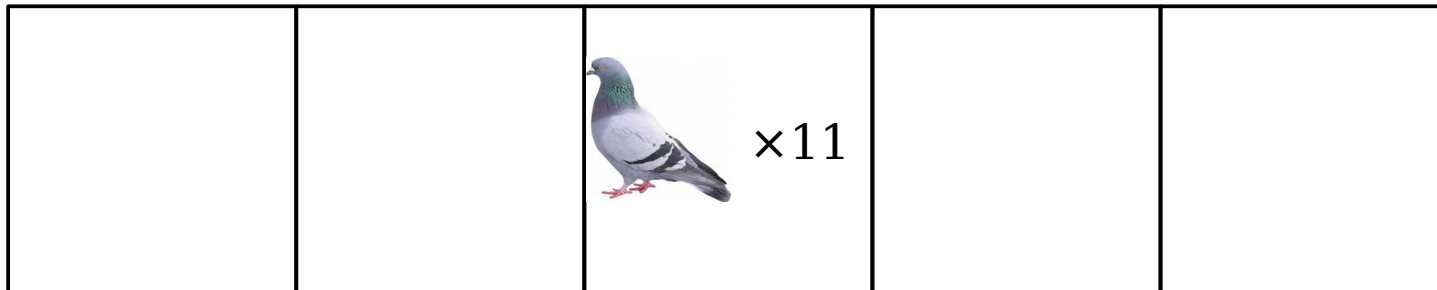
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# An Application: Friends and Strangers

# Friends and Strangers

- Suppose you have a party of six people. Each pair of people are either friends (they know each other) or strangers (they do not).
- ***Theorem:*** Any such party must have a group of three mutual friends (three people who all know one another) or three mutual strangers (three people, none of whom know any of the others).

# Friends and Strangers Restated

- From a graph-theoretic perspective, the Theorem on Friends and Strangers can be restated as follows:

***Theorem:*** Any 6-clique whose edges are colored red and blue contains a red triangle or a blue triangle (or both).

- How can we prove this?

**Theorem:** Consider a 6-clique in which every edge is colored either red or blue. Then there must be a triangle of red edges, a triangle of blue edges, or both.

**Proof:** Color the edges of the 6-clique either red or blue arbitrarily. Let  $x$  be any node in the 6-clique. It is incident to five edges and there are two possible colors for those edges. Therefore, by the generalized pigeonhole principle, at least  $\lceil 5/2 \rceil = 3$  of those edges must be the same color. Call that color  $c_1$  and let the other color be  $c_2$ .

Let  $r$ ,  $s$ , and  $t$  be three of the nodes adjacent to node  $x$  along an edge of color  $c_1$ . If any of the edges  $\{r, s\}$ ,  $\{r, t\}$ , or  $\{s, t\}$  are of color  $c_1$ , then one of those edges plus the two edges connecting back to node  $x$  form a triangle of color  $c_1$ . Otherwise, all three of those edges are of color  $c_2$ , and they form a triangle of color  $c_2$ . Overall, this gives a red triangle or a blue triangle, as required. ■

# Ramsey Theory

- The proof we did is a special case of a broader result.
- ***Theorem (Ramsey's Theorem):*** For any natural number  $n$ , there is a smallest natural number  $R(n)$  such that if the edges of an  $R(n)$ -clique are colored red or blue, the resulting graph will contain either a red  $n$ -clique or a blue  $n$ -clique.
  - Our proof was that  $R(3) \leq 6$ .